# A switched adaptive observer for extended braking stiffness estimation

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#### Motivation



"Safety is a basic tenet to the [automotive] industry now and will continue to be an ongoing major focus for consumers and manufacturers alike."

# Outline

#### Part I Extended braking stiffness estimation

- Introduction
- XBS dynamics
- Switched adaptive observer
- Simulation and experimental results

Part II Wheel angular velocity and acceleration estimation

- Introduction
- Problem description
- Measurement models
- Estimation algorithm
- Experimental results

# Part I

# Extended braking stiffness estimation

# Wheel dynamics

The longitudinal dynamics of the angular velocity  $\omega$  of the wheel is described by



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where I is the inertia of the wheel, R is its effective rolling radius,  $\gamma_b$  is the brake efficiency,  $P_b$  is the brake pressure,  $F_x$  is the tyre force,  $F_z$  is the vertical load,  $\mu(\lambda)$  is the tyre-road friction coefficient,  $\lambda$  is the wheel slip, and  $v_x$  is the speed of the vehicle.

#### Tyre-road friction coefficient

Burckhardt's tyre characteristic model is defined as

$$\mu(\lambda) = c_1(1 - \exp(-c_2\lambda)) - c_3\lambda$$

where the constants  $c_i$  depend on the road conditions.



Figure: Typical tyre-road friction curve for different road surface conditions.

#### Extended braking stiffness (XBS)

The XBS is defined as the slope of friction coefficient against wheel slip at the operating point, i.e.



Figure: Tyre-road friction and extended braking stiffness (XBS).

# State-of-the-art on XBS estimation

- [Sugai et al., 1999] Analysis of the frequency characteristics of a resonance system composed of the vehicle body, the wheel and the road surface.
- ► [Umeno, 2002] Instrumental variable method. Linearization around a constant-velocity operating point.
- [Ono et al., 2003] Recursive least squares algorithm. The XBS is (implicitly) assumed constant.
- ▶ [Villagra et al., 2011] Elementary diagnostic tools and algebraic methods to distinguish one type of road from another. The estimation results are accurate only within a certain validity range.
- ► [Hoàng et al., 2013; 2014] Augmented-state observer. Requires (some) knowledge about the road conditions.

#### Wheel acceleration and XBS dynamics

Defining as state variables  $z_1 = R\dot{\omega} - \dot{v}_x$  and  $z_2 = \frac{d\mu(\lambda)}{d\lambda}$ , we obtain

$$\dot{z}_1 = -\frac{a}{v_x(t)} z_1 z_2 - bu$$
(1a)  
$$\dot{z}_2 = (cz_2 + d) \frac{1}{v_x(t)} z_1$$
(1b)

where  $a = \frac{R^2}{I}F_z$ ,  $b = \frac{R}{I}\gamma_b$  are known constant parameters,  $u = \dot{P}_b$ ,  $c = -c_2$ ,  $d = -c_2c_3$  are unknown parameters that depend on the road conditions, and  $v_x$  is considered as a known external variable.

The **objective** is to design an observer to estimate the (unmeasurable) XBS  $z_2$  under unknown road conditions, using the available information of the wheel acceleration offset  $z_1$ .

#### Change of coordinates

In order for the system to have a convenient structure that allows us to exploit well-known tools available in the literature, we propose the linear change of coordinates

$$w_1 = z_1$$
$$w_2 = z_2 + \frac{c}{a}z_1$$

which transforms system (1) into

$$\dot{w}_1 = \frac{w_1}{v_x(t)}(cw_1 - aw_2) - bu$$
$$\dot{w}_2 = -\frac{bc}{a}u + \frac{w_1}{v_x(t)}d$$

#### Change of coordinates

#### or, equivalently,

$$\dot{w}(t) = A(t, y)w(t) + Bu(t) + \Psi(t, u, y)\theta$$
(2a)  
$$y(t) = Cw(t)$$
(2b)

with

$$A(t,y) = \frac{w_1}{v_x(t)} \begin{pmatrix} 0 & -a \\ 0 & 0 \end{pmatrix}, \qquad \Psi(t,u,y) = \begin{pmatrix} \frac{w_1^2}{v_x(t)} & 0 \\ -\frac{b}{a}u & \frac{w_1}{v_x(t)} \end{pmatrix}$$
$$B = \begin{pmatrix} -b \\ 0 \end{pmatrix}, \quad C = \begin{pmatrix} 1 & 0 \end{pmatrix}, \quad \theta = \begin{pmatrix} c \\ d \end{pmatrix}, \quad w = \begin{pmatrix} w_1 \\ w_2 \end{pmatrix}.$$

#### XBS observer

Based on the adaptive observer proposed in [Zhang, 2002], and following ideas presented in [Hoàng et al., 2013; 2014], we propose

$$\dot{\hat{w}}(t) = A(t, y)\hat{w}(t) + Bu(t) + \Psi(t, u, y)\hat{\theta}(t) + \left(K(t, y) + \Upsilon(t)\Gamma\Upsilon^{\top}(t)C^{\top}\right)\left(y(t) - C\hat{w}(t)\right)$$
(3a)

$$\hat{\theta}(t) = \Gamma \Upsilon^{\top}(t) C^{\top} \left( y(t) - C \hat{w}(t) \right)$$
(3b)

$$\dot{\Upsilon}(t) = \left(A(t,y) - K(t,y)C\right)\Upsilon(t) + \Psi(t,u,y)$$
(3c)

with  $\Gamma = \Gamma^\top > 0$  and

$$K(t,y) = \frac{w_1}{v_x(t)} \times \begin{cases} \binom{k_1^+}{k_2^+}, & \text{if } y = w_1 > 0\\ \binom{k_1^-}{k_2^-}, & \text{if } y = w_1 < 0 \end{cases}$$
(4)

#### XBS observer

#### Theorem 1

Consider system (2) and observer (3). Define  $\tilde{w} = \hat{w} - w$  and  $\tilde{\theta} = \hat{\theta} - \theta$ . Let the observer gains  $k_{1,2}^{\pm}$  in (4) satisfy

$$k_1^+ > 0, \qquad k_2^+ < 0, \qquad k_1^- = -k_1^+ < 0, \qquad k_2^- = k_2^+ < 0.$$

Assume that the switching signal  $\sigma(w_1)$  that selects the observer gains admits a strictly positive minimal dwell time, that is, any two consecutive switchings are separated by no less than  $\tau_D > 0$ . If  $\Psi(t, u(t), y(t))$  is persistently exciting, then the origin of the closed-loop system with state  $(\tilde{w}, \tilde{\theta})^{\top}$  is globally asymptotically stable.

#### Dwell time and PE during ABS braking



Figure: Measured output  $w_1$  during an ABS braking simulation.

#### Dwell time and PE during ABS braking



Figure: Eigenvalues of  $M(t) = \int_{t-T}^{t} \Psi(\tau, u(\tau), y(\tau))^{\top} \Psi(\tau, u(\tau), y(\tau)) d\tau$ during an ABS braking simulation.

# Simulation results



Figure: Real vs estimated states and parameters of the transformed system.

#### Inverse change of coordinates

The states of the original system are obtained with

$$\hat{z}_1 = \hat{w}_1$$
  
 $\hat{z}_2 = \hat{w}_2 - \frac{\hat{c}}{a}\hat{w}_1.$ 

Since  $\tilde{w} \to 0$  and  $\tilde{\theta} \to 0$ , then  $\tilde{z} = \hat{z} - z \to 0$ .



Figure: Real vs estimated XBS (simulation results).

# Experimental setup (TU Delft)



Figure: Tyre-in-the-loop testbench.



 $\label{eq:Figure:ABS regulation test.}$ 



Figure: Real vs estimated states and parameters of the transformed system.



Figure: Real vs estimated XBS.



Figure: Comparison against augmented-state observer.



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Figure: Comparison against augmented-state observer.

#### Simulation results: with perturbed measurements



Figure: Wheel acceleration offset: real signal vs perturbed measurement.

#### Simulation results: with perturbed measurements



Figure: Real vs estimated states and parameters of the transformed system.

#### Simulation results: with perturbed measurements



Figure: Real vs estimated XBS.

# Part II

# Wheel angular velocity and acceleration estimation

#### Wheel velocity estimation mechanism

The most commonly used technology to measure rotational velocity is based on incremental shaft encoders.





#### Time-stamping algorithm [Merry et al., 2010; 2013]

It consists in capturing the time instants  $t_i$  and positions  $\theta_i$  of the last n encoder events, and performing an m-th-order polynomial fit to approximate the position of the wheel.



Time-stamping algorithm [Merry et al., 2010; 2013]

The position at time  $t = t_k$  is approximated by

$$\theta(t) = p_m t^m + p_{m-1} t^{m-1} + \ldots + p_0.$$

The regression problem

$$\begin{pmatrix} t_{k-n+1}^m & t_{k-n+1}^{m-1} & \cdots & t_{k-n+1} & 1\\ \vdots & \vdots & \vdots & \vdots & \vdots\\ t_k^m & t_k^{m-1} & \cdots & t_k & 1 \end{pmatrix} \begin{pmatrix} p_m\\ \vdots\\ p_0 \end{pmatrix} = \begin{pmatrix} \theta_{k-n+1}\\ \vdots\\ \theta_k \end{pmatrix}$$

is solved for  $p_i$  via the least squares method, and the velocity and acceleration are calculated with

$$\omega(t) = \sum_{i=1}^{m} i p_i t^{i-1}, \qquad \alpha(t) = \sum_{i=2}^{m} (i-1) i p_i t^{i-2}.$$

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- ► Cycle error
- ▶ Eccentricity or tilt of the encoder's code-wheel
- ▶ Pulse-width and phase errors



#### Effects of encoder imperfections

In the presence of sensor imperfections, the measured position

$$\theta_m = \theta_r + f_r(\theta_r)$$

is affected by a small perturbation that may be neglected.



Figure: Error in pulse transition location as a function of angular position for a 60 pulses-per-revolution encoder.

#### Effects of sensor imperfections

In the case of the measured velocity and acceleration

$$\omega_m = \omega_r + \omega_r f_r'(\theta_r)$$

$$\alpha_m = \alpha_r + \alpha_r f'_r(\theta_r) + \omega_r^2 f''_r(\theta_r)$$

the perturbation cannot be neglected.



Figure: Velocity and acceleration measured via the time-stamping algorithm for a 32 m/s constant-velocity reference using different numbers of events.

# State-of-the-art

- ▶ [Merry et al., 2013] Error compensation look-up tables. They work only for a particular encoder and their construction requires a high-resolution reference sensor.
- [Gustafsson, 2010] Frequency analysis of the wheel speed for the estimation of the encoder imperfections. Works only when the speed is constant.
- ▶ [Corno and Savaresi, 2010] Notch filter.
- ▶ [Panzani et al., 2012] Notch filter.
- ▶ [Hoàng et al., 2012] Notch filter.
- [Rallo et al., 2017] Batch constrained least squares algorithm for the estimation of the encoder imperfections. Assumes that the speed does not vary significantly within a single revolution.

#### Measurement models

In order to estimate  $\omega_r$  and  $\alpha_r$  from the available signals, we introduce the measurement models:

$$\bullet \qquad \theta_m = \theta_r + \sum_{k=1}^M \left[ a_k \sin(k\theta_m) + b_k \cos(k\theta_m) \right]$$

• 
$$\omega_m = \omega_r + \omega_m \sum_{k=1}^M \left[ k a'_k \cos(k\theta_m) - k b'_k \sin(k\theta_m) \right]$$

$$\bullet \qquad \alpha_m = \alpha_r + \alpha_m \sum_{k=1}^M \left[ k a_k'' \cos(k\theta_m) - k b_k'' \sin(k\theta_m) \right] \\ -\omega_m^2 \sum_{k=1}^M \left[ k^2 a_k'' \sin(k\theta_m) + k^2 b_k'' \cos(k\theta_m) \right]$$

where  $a_k$ ,  $b_k$ ,  $a'_k$ ,  $b'_k$ ,  $a''_k$  and  $b''_k$  are unknown.

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$$\omega_m = \omega_r + \omega_m \sum_{k=1}^M \left[ k a'_k \cos(k\theta_m) - k b'_k \sin(k\theta_m) \right]$$

where  $a_k$ ,  $b_k$ ,  $a'_k$ ,  $b'_k$ ,  $a''_k$  and  $b''_k$  are unknown.

 $\triangle \quad \text{In general, } a_k \neq a_k' \neq a_k'' \text{ and } b_k \neq b_k' \neq b_k''. \ \triangle$ 

#### Measurement models

▲ Due to the delay introduced in the measured signals by the time-stamping algorithm, in general,  $a_k \neq a'_k \neq a''_k$  and  $b_k \neq b'_k \neq b''_k$ .



Figure: Off-line least-squares fitting of the Fourier coefficients  $a_k$  and  $b_k$  for the measurement models.

#### Estimation algorithm

Let us rewrite the measurement models as:

$$\omega_m = \omega_r + \omega_m \phi(\theta_m)^\top D\vartheta' \tag{5}$$

$$\alpha_m = \alpha_r + \left[\alpha_m \phi(\theta_m)^\top D - \omega_m^2 \psi(\theta_m)^\top D^2\right] \vartheta'' \tag{6}$$

where

$$D = \operatorname{diag}(1, 1, 2, 2, \ldots)$$
$$\phi(\theta_m) = \begin{bmatrix} \cos(\theta_m) & -\sin(\theta_m) & \cos(2\theta_m) & -\sin(2\theta_m) & \cdots \end{bmatrix}^\top$$
$$\psi(\theta_m) = \begin{bmatrix} \sin(\theta_m) & \cos(\theta_m) & \sin(2\theta_m) & \cos(2\theta_m) & \cdots \end{bmatrix}^\top$$
and  $\vartheta', \vartheta''$  contain the corresponding coefficients  $a'_k, b'_k, a''_k, b''_k$ .

#### Estimation algorithm

From (5) and (6),  $\omega_m$  and  $\alpha_m$  can be seen as the sum of a low-frequency term and a high-frequency (with respect to the first one) term, of the form

$$\bar{\zeta} = \Phi(\theta_m, \omega_m, \alpha_m)^\top \vartheta.$$
(7)

that depends on the known signals  $\theta_m$ ,  $\omega_m$ , and  $\alpha_m$ , and is linear in the unknown parameters  $\vartheta$ .

In order to estimate  $\omega_r$  and  $\alpha_r$  we propose:



#### Estimation algorithm

**Stage 1:** The measured signals are filtered in order to separate the perturbation term  $\overline{\zeta}$  from the other terms in (5) (resp. (6)). **Stage 2:** Assuming that  $\zeta \approx \overline{\zeta}$ , the Fourier coefficients of the periodic perturbation in (5) (resp. (6)) are estimated via standard parameter estimation techniques with the parametric model

$$\zeta = \Phi(\theta_m, \omega_m, \alpha_m)^\top \vartheta$$

**Stage 3:** Using the estimated parameters  $\hat{\vartheta}$ , the velocity and acceleration estimates are constructed:

$$\widehat{\omega}_r = \omega_m - \omega_m \phi(\theta_m)^\top D\widehat{\vartheta}' \tag{8}$$

$$\widehat{\alpha}_r = \alpha_m - \left[\alpha_m \phi(\theta_m)^\top D - \omega_m^2 \psi(\theta_m)^\top D^2\right] \widehat{\vartheta''}$$
(9)



Figure: Measured vs. filtered and estimated signals for a piecewise-constant velocity reference.



Figure: Measured vs. filtered and estimated signals for a piecewise-linear velocity reference.



Figure: Measured vs. filtered and estimated signals for a smooth velocity reference.

#### Future work

- ▶ Joint implementation of XBS observer with estimation algorithm.
- ▶ Use of the XBS observer in closed-loop control algorithms.
- ► Generalization of the switched adaptive observer for a class of systems with linearizable error dynamics via singular time-scaling.
- ▶ Use of the velocity and acceleration estimation algorithm in motion control applications, e.g. electrical motors.

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